

# CS 360 — Assignment 1 Solutions

## University of Waterloo, Spring 2018

1. We will prove this by induction on  $k$ . First, for our base case, let  $k = 0$ . Then,

$$u(vu)^0 = u = (uv)^0u.$$

Now, let  $k \geq 1$  and suppose that  $u(vu)^\ell = (uv)^\ell u$  for all  $\ell < k$ . Then we have

$$\begin{aligned} u(vu)^k &= uvu(vu)^{k-1} && \text{since } k > 0 \\ &= uv(u(vu)^{k-1}) \\ &= uv(uv)^{k-1}u && \text{by induction hypothesis} \\ &= (uv)^k u. \end{aligned}$$

2. (a) The DFA  $A$  is defined  $A = (\{q_0, q_1\}, \{0, 1\}, \delta, q_0, \{q_0\})$  where the transition function  $\delta$  is specified by

$$\begin{array}{ll} \delta(q_0, 0) = q_1 & \delta(q_0, 1) = q_0 \\ \delta(q_1, 0) = q_0 & \delta(q_1, 1) = q_1 \end{array}$$

(b) We claim that the DFA  $A$  recognizes the language

$$L = \{w \in \{0, 1\}^* \mid |w|_0 = 0 \pmod{2}\}.$$

That is,  $A$  recognizes the language of binary strings with an even number of 0s.

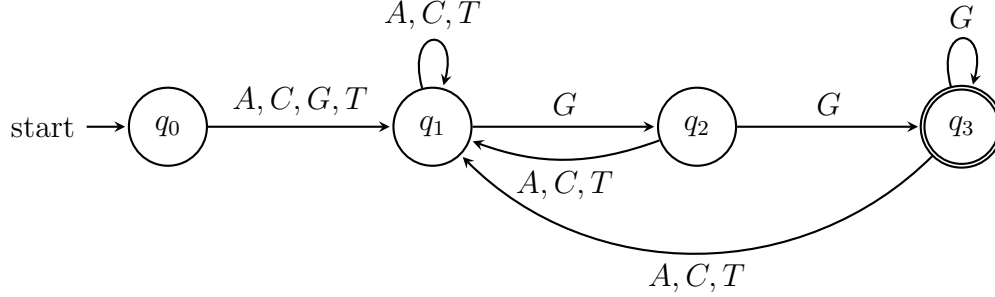
We will prove this by showing that a word  $w$  reaches state  $q_i$  if and only if  $|w|_0 = i \pmod{2}$ . We will show this by induction on the length of  $w$ . First, for the base case, let  $w = \varepsilon$ . Then  $\delta(q_0, w) = \delta(q_0, \varepsilon) = q_0$  and  $|\varepsilon|_0 = 0 = 0 \pmod{2}$ .

Now let  $|w| > 0$  and assume that for every word  $w'$  with  $|w'| < |w|$ ,  $\delta(q_0, w') = q_i$  if and only if  $|w'|_0 = i \pmod{2}$ . Let  $w = w'a$  for a word  $w' \in \{0, 1\}^*$  and  $a \in \{0, 1\}$ . Since  $|w'| = k - 1$ , by our inductive hypothesis, we have  $\delta(q_0, w') = q_i$  if and only if  $|w'|_0 = i \pmod{2}$ . There are two cases to consider.

- i. If  $i = 0$ , then  $|w'|_0 = 0 \pmod{2}$ . If  $a = 0$ , then  $\delta(q_0, 0) = q_1$  and  $|w|_0 = |w'|_0 + 1 = 1 \pmod{2}$ . Similarly, if  $a = 1$ , then  $\delta(q_0, 1) = q_0$  and  $|w|_0 = |w'|_0 + 0 = 0 \pmod{2}$ .
- ii. If  $i = 1$ , then  $|w'|_0 = 1 \pmod{2}$ . If  $a = 0$ , then  $\delta(q_1, 0) = q_0$  and  $|w|_0 = |w'|_0 + 1 = 0 \pmod{2}$ . Similarly, if  $a = 1$ , then  $\delta(q_1, 1) = q_1$  and  $|w|_0 = |w'|_0 + 0 = 0 \pmod{2}$ .

Thus, we have shown that  $\delta(q_0, w) = q_i$  if and only if  $|w|_0 = i \pmod 2$ . Since  $q_0$  is the only accepting state of  $A$ , we have that  $A$  recognizes a word  $w$  if and only if  $|w| = 0 \pmod 2$ .

3. Let  $A$  be the DFA depicted in the state diagram that follows.



We will show that  $A$  recognizes  $L$  by showing that for a word  $w \in \Sigma^*$ , we have the following conditions:

- (a)  $\delta(q_0, w) = q_0$  iff  $w = \varepsilon$ ,
- (b)  $\delta(q_0, w) = q_1$  iff  $w = G$  or  $w = w'a$  for some  $w' \in \Sigma^*$  and  $a \in \{A, C, T\}$ ,
- (c)  $\delta(q_0, w) = q_2$  iff  $w = w'G$  for some  $w' \in \Sigma^+$ ,
- (d)  $\delta(q_0, w) = q_3$  iff  $w = w'GG$  for some  $w' \in \Sigma^+$ .

We will show this by induction on the length of  $w$ .

For our base case, we consider  $|w| = 0$ , which means  $w = \varepsilon$ . Since  $w = \varepsilon$ , we have  $\delta(q_0, w) = q_0$  by definition. Thus the base case holds.

Now we consider  $|w| > 0$  and assume that for all words  $u \in \Sigma^*$  with  $|u| < |w|$ ,  $u$  satisfies the conditions above. Let  $w = w'a$  for  $a \in \Sigma$  and  $w' \in \Sigma^*$ . We have the following cases to consider.

- (a) If  $a \in \{A, C, T\}$ , then for every  $w' \in \Sigma^*$ , we have  $\delta(\delta(q_0, w'), a) = q_1$ , since for every state  $q \in Q$ , we have  $\delta(q, a) = q_1$  by definition. Then this satisfies the condition that  $\delta(q_0, w) = q_1$  if only if  $w = w'a$  for  $a \in \{A, C, T\}$  or  $w = G$ .
- (b) If  $a = G$ , then we have the following cases to consider.
  - i. If  $\delta(q_0, w') = q_0$ , then  $w' = \varepsilon$  by our inductive hypothesis. Then we have  $\delta(q_0, G) = q_1$  by definition and  $w = G$ , satisfying the condition that  $w$  is either  $G$  or ends in one of  $A, C, T$ .
  - ii. If  $\delta(q_0, w') = q_1$  then  $w' = G$  or  $w' = ub$  for some  $u \in \Sigma^*$  and  $b \in \{A, C, T\}$  by the induction hypothesis. We have  $\delta(q_1, G) = q_2$  and  $w = w'G$ , as required.
  - iii. If  $\delta(q_0, w') = q_2$  then  $w' = uG$  for some  $u \in \Sigma^+$  by the induction hypothesis. We have  $\delta(q_2, G) = q_3$  and  $w = w'G = uGG$  for some  $u \in \Sigma^+$  as required.

- iv. If  $\delta(q_0, w') = q_3$ , then  $w' = uGG$  for some  $u \in \Sigma^+$  by the induction hypothesis. We have  $\delta(q_3, G) = q_3$ . Let  $v = uG$  and we have  $w = uGGG = vGG$ , with  $v \in \Sigma^+$  as required.

Since  $q_3$  is the sole final state,  $A$  must only accept words of the form  $w = w'GG$  for  $w' \in \Sigma^+$ . Since  $w' \in \Sigma^+$ , we can write  $w' = ua$  for some  $u \in \Sigma^*$  and  $a \in \Sigma$  and we have  $w = uaGG$ . Thus,  $A$  recognizes all words ending in  $aGG$  with  $a \in \Sigma$ .

4. Since  $L$  is regular, it must be recognized by a DFA  $A = (Q, \Sigma, \delta, q_0, F)$ . We will show that  $L'$  is also regular by constructing a DFA  $B$  that recognizes it. Let  $B = (Q, \Sigma, \delta, q_0, F')$ , where  $Q, \Sigma, \delta, q_0$  are all as defined for  $A$  and the set of final states  $F'$  is defined by

$$F' = \{q \in Q \mid (\exists v \in \Sigma^*)\delta(q_0, v) \in F\}.$$

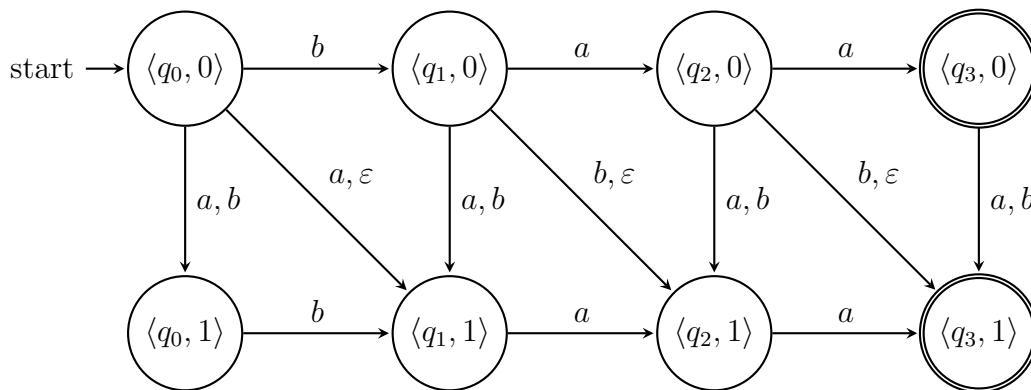
That is,  $F'$  consists of all states  $q \in Q$  from which there exists a word that reaches a final state of  $A$ . Now, we will show that  $L(B) = L'$ .

First, we will show  $L(B) \subseteq L'$ . Suppose  $w \in L(B)$ . Let  $q = \delta(q_0, w) \in F'$ . By definition of  $F'$ , there exists a word  $v \in \Sigma^*$  such that  $\delta(q, v) \in F$ . But this means we have  $\delta(\delta(q_0, w), v) = \delta(q_0, wv) \in F$  and therefore  $wv \in L$ . Thus,  $w \in L'$  by definition of  $L'$ .

Next, we will show  $L' \subseteq L(B)$ . Suppose  $w \in L'$ . Then there exists a word  $v \in \Sigma^*$  such that  $wv \in L$ . Thus,  $wv$  is recognized by  $A$  and we have  $\delta(q_0, wv) \in F$ . But this means there exists a state  $q$  such that  $\delta(q_0, w) = q$  and  $\delta(q, v) \in F$ . This means we have  $q \in F'$  by definition and thus  $w \in L(B)$ .

Thus, we have shown that  $L(B) = L'$ .

5. Let  $A$  be the  $\varepsilon$ -NFA depicted in the state diagram that follows.



We will show that  $A$  recognizes the language of words over  $\{a.b\}$  with an edit distance of at most 1 from the word  $baa$ .

It is clear that travelling along each row of the NFA gives a path to accept the word  $baa$ . We claim that travelling from row 0 to row 1 corresponds to one edit operation. In particular, for  $i = 0, 1, 2, 3$ , going from  $\langle q_i, 0 \rangle$  to  $\langle q_i, 1 \rangle$  corresponds to an insertion

of a symbol, while going from  $\langle q_i, 0 \rangle$  to  $\langle q_{i+1}, 1 \rangle$  corresponds to a deletion operation via the  $\varepsilon$ -transition and a substitution otherwise. Only one such edit operation can be performed; otherwise, the machine crashes, as there are no outgoing transitions corresponding to edit operations from states  $\langle q_i, 1 \rangle$ .